

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|----------------------------------|----------------------|---------------------|------------------|
| 10/784,870 | 02/24/2004 | Mikio Takaiwa | 249142US0DIV | 1309 |
| 22850 OBLON SPIV | 7590 06/06/200 'AK MCCLELLAND | EXAMINER | | |
| OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET | | | RAO, MANJUNATH N | |
| ALEXANDRIA, VA 22314 | | | ART UNIT | PAPER NUMBER |
| | | • | 1652 | |
| | | | | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 06/06/2007 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s) | |
|-------------------------|----------------|--|
| 10/784,870 | TAKAIWA ET AL. | |
| Examiner | Art Unit | |
| Manjunath N. Rao, Ph.D. | 1652 | |

| | Manjunath N. Rao, Ph.D. | 1652 | | | | |
|---|--|---|--------------------------------------|--|--|--|
| The MAILING DATE of this communication appe | ars on the cover sheet with the c | orrespondence add | ress | | | |
| THE REPLY FILED <u>14 May 2007</u> FAILS TO PLACE THIS APP | LICATION IN CONDITION FOR AL | LOWANCE. | | | | |
| 1. The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods: | wing replies: (1) an amendment, aff tice of Appeal (with appeal fee) in c se with 37 CFR 1.114. The reply mu | idavit, or other evider compliance with 37 C | nce, which FR 41.31; or (3) | | | |
| a) The period for reply expires <u>3</u> months from the mailing date of the final rejection. | | | | | | |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire I Examiner Note: If box 1 is checked, check either box (a) or TWO MONTHS OF THE FINAL REJECTION. See MPEP 7 Extensions of time may be obtained under 37 CFR 1.136(a). The date | ater than SIX MONTHS from the mailing (b). ONLY CHECK BOX (b) WHEN THE 06.07(f). on which the petition under 37 CFR 1.1 | g date of the final rejecti FIRST REPLY WAS F 36(a) and the appropria | on. iLED WITHIN te extension fee | | | |
| nave been filed is the date for purposes of determining the period of exunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL | shortened statutory period for reply origing than three months after the mailing da | nally set in the final Offi | ce action; or (2) as | | | |
| The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exte a Notice of Appeal has been filed, any reply must be filed AMENDMENTS | nsion thereof (37 CFR 41.37(e)), to | avoid dismissal of th | ns of the date of e appeal. Since | | | |
| 3. The proposed amendment(s) filed after a final rejection, | but prior to the date of filing a brief, | will not be entered b | ecause | | | |
| (a) ☐ They raise new issues that would require further co | nsideration and/or search (see NO | | | | | |
| (b) They raise the issue of new matter (see NOTE below); | | | | | | |
| (c) They are not deemed to place the application in be | tter form for appeal by materially re | ducing or simplifying | the issues for | | | |
| | appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. | | | | | |
| NOTE: (See 37 CFR 1.116 and 41.33(a)). | | ected claims. | | | | |
| | | manliant Amandurant | (DTOL 204) | | | |
| I. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). Discription of Claims under 35 U.S.C. 112, 1 st paragraph. | | | | | | |
| 6. Newly proposed or amended claim(s) would be a | | | | | | |
| non-allowable claim(s). | nowable il submitted ili a separate, | unlery med amendme | ent canceling the | | | |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: | | ll be entered and an e | explanation of | | | |
| Claim(s) allowed: Claim(s) objected to: . | | | | | | |
| Claim(s) rejected: 7-9, 15, 19-23, 29, 33-34. Claim(s) withdrawn from consideration: | | | | | | |
| AFFIDAVIT OR OTHER EVIDENCE | | | | | | |
| 3. The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e). | d sufficient reasons why the affidav | it or other evidence is | s necessary and | | | |
| 9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessar | overcome all rejections under appea | al and/or appellant fa | ls to provide a | | | |
| 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. | | | | | | |
| REQUEST FOR RECONSIDERATION/OTHER | | | | | | |
| 11. The request for reconsideration has been considered bu | it does NOT place the application in | n condition for allowa | nce because: | | | |
| 12. Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s) | | | | | | |
| | | | | | | |
| | 7.3 | Manjunath N. Rao, Primary Examiner | Ph.D. | | | |
| | • | Art Unit: 1652 | | | | |

Advisory Action

Claims 7-9, 15, 19-23, 29, 33-34 are now currently pending in this application.

Applicant's amendments and arguments filed on 5-14-07 has been considered and ENTERED. However, the application is still not in condition for an allowance for the following reason.

Upon consideration of the claim amendments and the persuasive arguments, Examiner has withdrawn the previously held rejection under 35 U.S.C. 112, 1st paragraph (non-enablement). However, Examiner continues to maintain the rejection under Double patenting rules since applicant has not filed a Terminal Disclaimer and has requested that said rejection be held in abeyance. Therefore, while the above amendment has been entered and overcomes the rejection under 35 U.S.C. 112, 1st paragraph, it fails to address the rejection under Double patenting rules. Examiner continues to maintain the rejection under Double patenting rules for claims 7-9, 15, 19-23, 29, 33-34.

Conclusion

None of the claims are allowable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Manjunath N. Rao, Ph.D. whose telephone number is 571-272-0939. The examiner can normally be reached on 7.30 a.m. to 4.00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapura Achutamurthy can be reached on 571-272-0928. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/784,870 Page 3

Art Unit: 1652

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Manjunath N. Rao, Ph.D.

Primary Examiner Art Unit 1652

May 31, 2007